BRIEF EXAMINATION OF THE ANTIMICROBIAL ACTIVITY OF PHENOLIC MANNICH Bases DERIVED FROM BUTYLATED HYDROXYANISOLE

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Abstract

The aminomethylation of the well-known antioxidant butylated hydroxyanisole (BHA) was explored. A small library comprising eleven tertiary Mannich bases derived from BHA as substrate and piperidines, piperazines and other various secondary amines as amine reagents in aminomethylation has been synthesized and structurally characterized. The antimicrobial activity of these hitherto unknown derivatives of BHA against two bacterial strains (Escherichia coli and Staphylococcus aureus) and one fungal strain (Candida albicans) has been investigated. In a preliminary evaluation using the disk diffusion technique, none of the candidates exhibited significant antimicrobial activity at 100 mg/mL.

Keywords: aminomethylation, Mannich bases, BHA, antimicrobial

Introduction

Butylated hydroxyanisole (BHA) is a well-known synthetic antioxidant featuring a sterically hindered phenol structure, which has been mainly used in food and pharmaceutical products as additive. In addition to its industrial uses, several biological activities have been disclosed. For example, BHA exhibited antioxidant activity in microsomal and linoleate systems [27], exerted Ca2+ antagonistic effects in rat aorta rings [7] and inhibited L-type Ca2+ current in guinea pig gastric muscle strips [8]. Also, a moderate cytotoxic activity has been reported for BHA. The cytotoxic effects of BHA have been mostly attributed to apoptosis [20], although caspase-dependent apoptosis and glutathione depletion [17], along with single-strand DNA breakage [33] may also contribute to the reported cytotoxicity of BHA. Moreover, the broad antimicrobial properties of BHA have been long known. Thus, five Bacillus strains were inhibited by 75 - 100 ppm of BHA in nutrient broth, but the levels required for growth inhibition of Bacillus cereus in cooked rice or strained chicken were between 10 and 50 times higher than those in laboratory media [28]. Concentrations of 150 - 200 ppm of BHA, and 150 ppm BHA or above totally inhibited the growth of Salmonella typhimurium and Staphylococcus aureus, respectively, in trypticase soy broth [4]. A thorough study investigated the action of BHA (along with other antioxidants) against a large number of bacteria, and found that it inhibited gram-positive bacteria more than gram-negative bacteria [9]. As little as 150 ppm BHA significantly reduced the number of colonies formed on agar media by Saccharomyces cerevisiae compared with controls [6], whereas the presence of BHA was shown to affect the cultures of six strains of Aspergillus on agarose [13].

Aminomethylation is a major process in organic synthesis that allows the substitution of an active hydrogen atom in an organic substrate with an aminoalkyl moiety in one step [29]. Also known as the Mannich reaction, aminomethylation covers the derivatization of structurally diverse substrates with an aminomethyl function through direct reaction with formaldehyde as the carbonyl...
component and various primary or secondary amines as amine reagents to generate secondary or tertiary amines generally referred to as Mannich bases [30]. Aminomethylation has been extensively used as a synthetic tool in medicinal chemistry to produce pharmacologically relevant compounds, prodrugs, or intermediates in the preparation of known drugs [2, 25]. Among the types of substrates that can be subjected to aminomethylation, phenols represent starting materials for antimarialarials such as amino-methylphenol-tethered 4-aminoquinolines amodshipine, amopyroquine and analogues (e.g. pyronaridine) [12] or for cytotoxic agents derived from phenolic chalcones [31, 34] or lawsone [3, 14]. In addition, the present paper reports the synthesis, characterization and biological uses of phenolic Mannich bases [26], the a part of our continuous endeavour to broade...

Aminomethylation of BHA has been barely described in the literature. In one of these studies, the Mannich bases that were obtained from BHA using morpholine and 2,6-dimethylmorpholine as amine reagents have been reported to show interesting diuretic activities [15], whereas a later study investigated the C-alkylation of 2-(methylamino)naphthalene with the dimethylamine Mannich base of BHA as a step towards the synthesis of spiroanes [16]. The scarcity of reports involving aminomethylated BHA derivatives prompted us to thoroughly investigate their synthesis and generate a medium-size collection of such Mannich bases through the variation of the amine reagent. As a part of our continuous endeavour to broaden the knowledge pertaining to the chemistry [21-24] and biological uses of phenolic Mannich bases [26], the present paper reports the synthesis, characterization and evaluation of antibacterial activity of amino-methylated BHA derivatives.

Materials and Methods

Chemistry

All chemical reagents were obtained from Sigma-Aldrich and were used without prior purification. Melting points were recorded on a Mel-Temp II apparatus and are uncorrected. ¹H NMR spectra were recorded on a Bruker Avance 400-MHz spectrometer at room temperature. The signals owing to residual protons in the deuterated solvents were used as internal standards for the ¹H NMR spectra. The chemical shifts for the carbon atoms are given relative to CDCl₃ (δ = 77.16 ppm) or CD₂OD (δ = 49.00 ppm). High-resolution mass spectra were obtained on an Applied Biosystems/MDS Sciex QSTAR XL spectrometer equipped with an Agilent HP1100 Cap- LC system. Elemental analysis was conducted on a PerkinElmer 2400 Series II CHNS/O system, and the results were within ± 0.4% of theoretical values.

General procedure for the synthesis of Mannich bases 3-13

A mixture of commercially available BHA (900 mg, 5 mmoles), secondary amine (5 mmoles), and 37% formaldehyde (487 mg, 530 μL, 6 mmoles) in 96% ethanol (5 mL) was kept at room temperature for 3 days. The solid that separated was filtered and recrystallized. Alternatively, if no crystalline precipitate had separated after 3 days, the solvent was removed under reduced pressure, and the residue partitioned between chloroform (20 mL) and 5% H₂SO₄ (20 mL). The organic phase was further extracted with 5% H₂SO₄ (10 mL), and then the combined aqueous phase was washed with chloroform (15 mL). The aqueous phase was treated with saturated Na₂CO₃ solution until pH 8, and then it was extracted with chloroform (2 × 20 mL). The chloroform phase was washed with water (30 mL) and brine (15 mL), and dried over anhydrous Na₂SO₄. The residue resulted after the solvent had been removed under reduced pressure was recrystallized from the appropriate solvent.

2-t-Butyl-4-methoxy-6-(morpholin-4-ylmethyl)phenol 3. Colourless crystals (507 mg, 41%), mp 91 - 92°C (ethanol); ¹H NMR (CDCl₃, 400 MHz), δ 1.40 (s, 9H), 2.55 (br s, 4H), 3.66 (s, 2H), 3.74 (br s, 7H), 6.42 (d, J = 3.2 Hz, 1H), 6.81 (d, J = 3.2 Hz, 1H), 10.38 (s, 1H); ¹³C NMR (CDCl₃, 100 MHz), δ 29.5, 35.0, 52.8, 55.8, 62.5, 66.9, 111.4, 113.0, 121.3, 138.0, 150.4, 151.9; Anal. calcd. for C₂₉H₂₃NO₅: C 73.67, H 6.9, N, 4.98; HRMS (EI), m/z: calcd. for C₂₉H₂₃NO₅: 427.1834 (M⁺), Found: 427.1841.

2-t-Butyl-4-methoxy-6-(piperidin-1-ylmethyl)phenol 4. Colourless crystals (1025 mg, 74%), mp 104 - 105°C (ethanol); ¹H NMR (CDCl₃, 400 MHz), δ 1.40 (s, 9H), 1.49 (br s, 2H), 1.57 - 1.67 (m, 4H), 2.48 (br s, 4H), 3.61 (s, 2H), 3.74 (s, 3H), 6.40 (d, J = 2.8 Hz, 1H), 6.78 (d, J = 2.8 Hz, 1H); ¹³C NMR (CDCl₃, 100 MHz), δ 24.2, 25.9, 29.5, 34.9, 53.8, 55.8, 62.8, 111.2, 112.5, 122.3, 137.7, 151.0, 151.7; Anal. calcd. for C₂₇H₂₄NO₅: C 73.61, H 9.81, N, 5.05. Found: C 73.67, H 9.69, N, 4.98; HRMS (EI), m/z: calcd. for C₂₇H₂₄NO₅: 427.2042 (M⁺), Found: 427.2047.

2-t-Butyl-4-methoxy-6-(4-methylpiperidin-1-ylmethyl)phenol 5. Colourless crystals (875 mg, 60%), mp 68 - 69°C (ethanol); ¹H NMR (CDCl₃, 400 MHz), δ 0.94 (d, J = 6.4 Hz, 3H), 1.20 - 1.33 (m, 2H), 1.37 - 1.49 (m, 10H), 1.66 (d, J = 13.2 Hz, 2H), 2.04 (t, J = 11.4 Hz, 2H), 2.94 (d, J = 11.6 Hz, 2H), 3.62 (s, 2H), 3.74 (s, 3H), 6.40 (d, J = 3.2 Hz, 1H), 6.78 (d, J = 3.2 Hz, 1H); ¹³C NMR (CDCl₃, 100 MHz), δ 21.8, 29.5, 30.8, 34.2, 34.9, 53.2, 55.8, 62.4, 111.2, 112.5, 122.4, 137.7, 151.0, 151.7; Anal. calcd. for C₂₇H₂₄NO₅: C 74.18, H 10.03, N, 4.81. Found: C 74.08, H 9.91, N, 4.90; HRMS (EI), m/z: calcd. for C₂₇H₂₄NO₅: 427.2198 (M⁺); Found: 291.2206.

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6-(4-Benzylpiperidin-1-ylmethyl)-2-t-butyl-4-methoxophenol 6. Colourless crystals (1160 mg, 63%), mp 125 - 126°C (ethanol); 1H NMR (CDCl3, 400 MHz), δ: 1.27 - 1.42 (m, 2H), 1.41 (s, 9H), 1.52 - 1.64 (m, 4H), 1.68 (d, J = 13.2 Hz, 2H), 2.00 (t, J = 11.6 Hz, 2H), 2.55 (d, J = 7.2 Hz, 2H), 2.96 (d, J = 12.0 Hz, 2H), 3.62 (s, 2H), 3.73 (s, 3H), 6.39 (d, J = 3.2 Hz, 1H), 6.79 (d, J = 3.2 Hz, 1H), 7.11 - 7.23 (m, 3H), 7.25 - 7.32 (m, 2H), 10.63 (br s, 1H); 13C NMR (CDCl3, 100 MHz), δ: 28.5, 32.2, 35.0, 37.9, 41.3, 51.3, 55.8, 62.4, 111.2, 112.5, 122.3, 126.0, 128.4, 129.2, 137.7, 140.6, 150.9, 151.7; Anal. calcld. for C23H23NO: C 78.43, H 9.05, N 3.81. Found: C 78.56, H 8.94, N 3.92; HRMS (EI), m/z: calcld. for C23H23NO: 367.2511 (M+). Found: 367.2520.

2-t-Butyl-4-methoxy-6-(4-methylpiperazin-1-yl)phenol 7. Colourless crystals (818 mg, 56%), mp 83 - 84°C (ethanol); 1H NMR (CDCl3, 400 MHz), δ: 1.40 (s, 9H), 2.31 (s, 3H), 2.54 (br s, 8H), 3.66 (s, 2H), 3.74 (s, 3H), 6.42 (d, J = 3.2 Hz, 1H), 6.80 (d, J = 3.2 Hz, 1H), 10.63 (br s, 1H); 13C NMR (CDCl3, 100 MHz), δ: 28.5, 34.9, 46.0, 52.4, 55.0, 55.8, 62.0, 111.2, 112.8, 121.8, 137.8, 150.6, 151.8; Anal. calcld. for C19H21NO: C 69.83, H 6.95, N 5.89. Found: C 69.70, H 9.52, N 9.64; HRMS (EI), m/z: calcld. for C19H21NO: 292.2151 (M+). Found: 292.2144.

6-(4-Benzylpiperazin-1-ylmethyl)-2-t-butyl-4-methoxyphenol hydrochloride 8. The oil resulted after chloroform had been removed was dissolved in abs. ethanol (5 mL) and treated with an excess of saturated solution of HCl in anhydrous diethyl ether to afford the corresponding hydrochloride. Colourless crystals (884 mg, 48%), mp 242 - 244°C (darkens at 216 - 220°C) (ethanol); 1H NMR (CD3OD, 400 MHz), δ: 1.40 (s, 9H), 3.69 (br s, 8H), 3.78 (s, 3H), 4.47 (s, 2H), 4.51 (s, 4H), 6.96 (s, 2H), 7.46 - 7.53 (m, 3H), 7.60 - 7.66 (m, 2H); 13C NMR (CD3OD, 100 MHz), δ: 30.3, 36.0, 56.2, 57.5, 61.3, 114.8, 117.6, 121.2, 129.3, 130.5, 131.6, 132.6, 144.2, 149.5, 155.5; Anal. calcld. for C12H21Cl2NO3: C 68.21, H 8.21, N 6.92. Found: C 68.46, H 8.38, N 7.20; HRMS (ESI), m/z: calcld. for C12H21Cl2NO3: 369.5285 ([M+H]+). Found: 369.5291.

2-t-Butyl-4-methoxy-6-(4-phenylpiperazin-1-yl)phenol 9. Colourless crystals (585 mg, 33%), mp 164 - 165°C (acetonate-ethanol); 1H NMR (CDCl3, 400 MHz), δ: 1.40 (s, 9H), 2.72 (br s, 4H), 3.25 (br s, 4H), 3.73 (s, 2H), 3.76 (s, 3H), 6.45 (d, J = 3.2 Hz, 1H), 6.82 (d, J = 3.2 Hz, 1H), 6.85 - 6.96 (m, 3H), 7.24 - 7.31 (m, 2H), 10.50 (br s, 1H); 13C NMR (CDCl3, 100 MHz), δ: 28.5, 35.0, 49.3, 52.4, 55.8, 62.1, 111.4, 112.9, 116.5, 120.3, 121.7, 129.3, 138.0, 150.5, 151.1, 151.9; Anal. calcld. for C29H24N2O3: C 74.54, H 8.53, N 7.90. Found: C 74.63, H 8.44, N 7.98; HRMS (EI), m/z: calcld. for C29H24N2O3: 434.2370 (M+). Found: 354.2314.
coli ATCC25922 and Candida albicans ATCC10231. All microorganisms were stored at -80°C in 20% glycerol. The bacterial strains were refreshed in Mueller-Hinton broth at 36°C, and the fungal strain was refreshed on Sabouraud dextrose agar at 36°C. Microbial suspensions were prepared with these cultures in sterile solution to obtain a turbidity that is optically comparable to that of 0.5 McFarland standards (yielding a suspension containing 1 × 10⁸ CFU/mL for all the microorganisms). Volumes of 0.2 mL from each inoculum were spread onto Mueller-Hinton agar, and the compounds to be investigated were added after the medium surface had dried. Sterilized paper discs (6 mm) were placed on the plate. An aliquot (50 μL) of the twelve tested compounds (concentration 100 mg/mL in DMSO) and DMSO as control were added on the paper discs. To estimate the antimicrobial properties of the tested compounds, the growth inhibition was determined under standard conditions after 24 hours of incubation at 36°C. The diameters of the inhibition zones were measured using Image J software.

Results and Discussion

Commercially available BHA is in fact a mixture of regioisomers, namely 2-t-butyl-4-methoxyphenol (3-BHA) 1 (90%) and 3-t-butyl-4-methoxyphenol (2-BHA) 2 (Figure 1) [18].

Some of the tertiary Mannich bases 3-13 crystallized from the reaction mixture and were isolated by filtration. The rest were separated by extraction in diluted H₂SO₄ followed by treatment of the water-soluble sulphate with a base, and extraction of the free base of aminomethylated BHA in chloroform. The yields of pure aminomethylated derivatives of BHA range from 30% to over 70%. No attempts to increase the low yields or optimize the reaction conditions by extending the reaction time, or preforming the aminomethylation at a higher temperature, or in different solvents has been made. The lower yields were observed in the case of dibenzylamine and 4-arylpiperazines, and were ascribed to the lesser reactivity of these amines in the Mannich reaction. On the other hand, piperidine appears to be the most reactive amine reagent of all the amines employed in this study. Mannich base 8 could not be induced to crystallize, and it was therefore converted into the corresponding hydrochloride by treatment with hydrogen chloride in anhydrous diethyl ether, under exclusion of water. Under the same reaction conditions and after the usual work-up, the Mannich base of BHA derived from hexamethyleneimine could not be isolated. The structure of the newly synthesized compounds has been investigated by spectroscopy techniques. First, the use of high-resolution mass spectroscopy in positive ion mode has confirmed the accurate mass for all the samples of Mannich bases 3-13 under investigation, corroborated with the correct elemental

![Figure 1.](image1.png)

Structures of the two regiosomers present in commercially available samples of BHA and the potential active sites in aminomethylation

It is conceivable that both isomers take part in the Mannich reaction to afford the corresponding derivatives carrying an aminomethyl function at position 6. However, because the ratio between the regioisomers in commercial samples of BHA strongly favors 3-BHA 1, it seems likely that only a small amount of aminomethylated 2-BHA would form under the conditions of the Mannich reaction. The formation of this by-product could probably be demonstrated by the close inspection of the proton NMR spectrum of the crude reaction mixture. However, because this aspect has never been verified in the course of the experiments conducted for this study, no confirmation or the disproof on the formation of aminomethylated 2-BHA as a minor by-product is available. All the purified samples resulted from the aminomethylation of commercial BHA contained only aminomethylated 3-BHA, which could be construed either as a lack of reactivity of 2-BHA in the Mannich reaction, or as a result of the complete removal (through careful purification of the crude reaction mixture) of the small amount of aminomethylated 2-BHA that could have formed. A small series of Mannich bases 3-13 derived from butylated hydroxyanisole has been prepared by means of direct aminomethylation. These aminomethylated BHA derivatives feature a tertiary amine moiety, and were obtained using secondary amines as amine reagents in the Mannich reaction, which was performed in ethanol at room temperature, as presented in Figure 2. In order to simplify the scheme, only the reactions of regioisomer 1 are represented.

![Figure 2.](image2.png)

Synthesis of aminomethylated BHA derivatives by means of direct Mannich reaction
composition. Second, both $^1$H and $^{13}$C NMR have been used to ascertain the structure of the synthesized compounds. A characteristic of the $^1$H NMR spectra of compounds 3-13 is the signal at approximately 3.6 ppm, attributed to the protons in the methylene group bridging the BHA scaffold and the amino moiety. Another typical signal for Mannich bases 3-13 is the off-set peak at 10 - 11 ppm integrating for one proton and corresponding to the proton of the phenolic hydroxyl. The high value for this signal clearly indicates a strong hydrogen bonding between the phenolic proton and the nitrogen atom in the amine moiety of the aminomethylated BHA derivatives. Interestingly, this peak is absent in all three piperidine-containing Mannich bases 4-6. As the peak due the phenolic hydroxyl was evidenced in the $^1$H NMR spectra of other piperidine-containing phenolic Mannich bases [1, 10, 19], the piperidine moiety is most likely not the only structural feature accountable for its absence in the spectra of compounds 4-6. The doublets centered at 6.4 and 6.8 ppm in the aromatic region of the $^1$H NMR spectra of Mannich bases 3-13 have been assigned to the two remaining protons in the substrate following the introduction of the aminomethyl group.

In the carbon spectra of compounds 3-13, the correct number of signals has been observed. The peak at approximately 56 ppm corresponds to approximately 152 ppm, has been attributed to the hydroxyl-substituted carbon atom in phenolic Mannich bases 3-13. The newly synthesized aminomethylated BHA derivatives 3-13 have been evaluated as potential antimicrobial agents. The antimicrobial activity was assessed using the agar disk diffusion method, which involves the addition of the compounds on the culture medium pre-inoculated with the microbial suspension, and measuring the clear zone caused by the growth inhibition around the film disks after 24 h of incubation.

The antimicrobial activity of BHA and Mannich bases 3-13 has been evaluated at a concentration of 100 mg/mL against two bacterial strains ($S. aureus$ and $Escherichia coli$) and one fungal strain ($Candida albicans$). As expected, BHA was active towards all three microbial strains, the average diameters of the inhibition zones being presented in Table 1. The fungal strain appears to be less sensitive to the action of BHA, whereas BHA seems to inhibit mostly the growth of the Gram-positive bacterial strain. No attempt to determine MIC values for BHA toward the microorganism used in the study has been made. On the other hand, Mannich bases 3-13 showed no antimicrobial activity against all the reference strains, even at this high concentration. Because of the lack of antimicrobial activity of aminomethylated BHA derivatives 3-13 in the disk diffusion method, no further determination of a MIC value for individual compounds using the serial dilution method was pursued. Considering that BHA exhibits good antimicrobial properties and the aminomethylated BHA derivatives 3-13 do not, it seems that aminomethylation is not a chemical modification that improves this particular biological activity of BHA.

### Table 1

<table>
<thead>
<tr>
<th>Strain</th>
<th>Inhibition zone (cm)</th>
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<tr>
<td>$S. aureus$</td>
<td>1.975</td>
</tr>
<tr>
<td>$E. coli$</td>
<td>1.180</td>
</tr>
<tr>
<td>$C. albicans$</td>
<td>0.650</td>
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### Conclusions

Aminomethylation of well-known antioxidant BHA has been successfully performed by keeping the substrate, aqueous formaldehyde and various secondary aliphatic amines in ethanol at room temperature for three days. The structure of the resulting tertiary Mannich bases has been confirmed through elemental analysis, NMR spectroscopy and high-resolution mass spectroscopy. Investigation of the antimicrobial activity of BHA confirmed its previously established antimicrobial properties. On the other hand, Mannich bases derived from BHA showed no antimicrobial activity, even at high concentration. This result suggests that the chemical modification of BHA through aminomethylation not only does not lead to derivatives with improved antimicrobial activity, but reduces significantly or even eliminates completely the antimicrobial properties of BHA.

### References

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